



MIFID 2 / MIFIR Regulatory Reporting Solutions by BME (SIX Group)

BME Regulatory Services is BME's Data Reporting Services Provider (DRSP) since 2017, helping EU investment firms and banks to meet their MiFID 2 / MiFIR reporting obligations: transaction reporting, trade reporting and quote reporting.

BME Regulatory Services provides its clients throughout the EU with state-of-the-art, easy-to-plug and cost-effective solutions:

- **Approved Reporting Mechanism** for reporting transactions to NCAs.
- **Approved Publication Arrangement** for real time publication of OTC trades and SI quotes.

BME Regulatory Services counts with an experienced team of IT and compliance experts on European financial markets regulation willing to assist and guide you to build a trusted cooperation framework that allow you to cope with an ever-increasing set of regulatory compliance duties.

Why BME RS suite?

Hi-Quality, low cost and simplicity

First-class service at highly competitive pricing.

Simplicity approach: 3 fully fledged services; 1 single support team; 1 single interface; easy-to-understand and straight forward agreements.

Simple, short & assisted IT implementation.

Plug-and-play reporting solutions available through web-based tools.

Intuitive Dashboard

with user friendly interface for manual operation and compliance monitoring.

Exhaustive financial instruments coverage: full MiFID 2 database.

Automated validations that allow for **minimum rejection rate** (average acceptance rate >99,98% in 2021 and >99,99% in Q1 2022).

Automated management of waivers and deferrals.

Solid infrastructure and high security standards.

High-end IT and regulatory **support by industry-experts.**
Help-desk: **08:00 am – 08:00 pm.**

Fully tested solutions **successfully implemented in +100 clients.**

Dashboard

BME Regulatory Services provides all its clients with a web-based interface offering an overall view of their degree of compliance with the transparency and reporting obligations, statistics and manual operation.

The dashboard allows for an easy and integrated way to manage, supervise and correct (if necessary) all aspects related to your MiFID 2 / MiFIR reporting requirements.



Approved Reporting Mechanism (ARM)

BME RS's ARM solution is a robust yet simple way for investment firms and banks to fulfil their **transaction reporting** obligation according to MiFIR Art. 26.

- **Reporting of transactions** to National Competent Authority.
- **Scope:** on-venue and OTC transactions on financial instruments admitted to trading in the EU.

- **Obligated entities:** investment firms and banks.
- **Optimized efficiency and risk:** information gathered, processed and submitted through a single access point.

- **Usability & adaptability** to client activity profile (not mutually exclusive)
 - » Manual entry with no IT implementation required.
 - » Automated reporting.
- **NCA connections** currently available:
 - » CNMV (Spain).
 - » AMF (France).
 - » BaFin (Germany).
 - » AFM (The Netherlands).

Approved Publication Arrangement (APA)

BME RS's APA solution is an agile yet reliable way for investment firms, banks and systematic internalisers to fulfil their trade and quote real time publication according to MiFIR Arts. 14, 18, 20 & 21.

Trade reporting

- Obligation to publish post-trade transparency in **real time post-trade transparency for OTC transactions.**
- **Scope:** OTC trades & trades executed on venues not deemed equivalent by ESMA on financial instruments admitted to trading in the EU.
- Obligated entities: **investment firms, banks and systematic internalisers.**

Quote reporting

- Obligation to publish **SI's firm quotes (pre-trade transparency) in real time.**
- **Scope:** systematic internalisers' firm quotes.
- Obligated entities: **systematic internalisers.**

Both services

- **Full coverage** of financial instruments and assets classes:
 - » Equity and equity-like: shares, depositary receipts, ETFs, certificates and other similar financial instruments.
 - » Non-equity: bonds, structured finance products, emission allowances and derivatives.
- **Real time publication** through BME Market Data SIX MDDX data feed.
- **Automated management** of trade reports publication deferrals and waivers.
- **Usability & adaptability** to client activity profile (not mutually exclusive).
 - » Manual entry with no IT implementation required.
 - » Automated reporting.

Contact us:

BME RS's solutions offer a light and cost efficient yet sound and reliable way to comply and actively manage and supervise all aspects related to transaction, trade and quote reporting under MiFID 2 / MiFIR with an intuitive and user-friendly touch.

Our Team will be happy to further learn about your needs and to guide you through BME RS's fully-tested solutions. Do not hesitate to contact us and to book a presentation / demo session with us.

Mailto: regulatoryservices@grupobme.es